

## Uznadze Set Revisited

Jaba Tkemaladze

E-mail: [itkemaladze@longevity.ge](mailto:itkemaladze@longevity.ge)

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### Abstract

The paradigm of set, developed by the Georgian psychologist D. Uznadze, represents a foundational yet often overlooked contribution to the science of non-conscious behavioral regulation. This article provides a comprehensive theoretical and experimental analysis of the set phenomenon, revisiting its core premise as a holistic, pre-conscious state that arises from the interaction of a subject's need and the objective situation, thereby determining the direction of subsequent mental activity. We systematically examine the classical haptic methodology—a two-phase experiment involving set-inducing and critical trials—and its modern modifications, including visual, computerized, and cross-modal paradigms that enhance its precision and scope. The analysis confirms the diagnostic power of set parameters, linking individual differences in set strength and lability to cognitive rigidity or flexibility, with significant implications for developmental, clinical, and neuropsychology. Furthermore, we integrate classical theory with contemporary neuroscience, framing set within the predictive coding framework and identifying its neurophysiological substrates in a distributed network including the basal ganglia for habit formation, the prefrontal cortex for cognitive control, and sensory association areas for perceptual integration. The article concludes by highlighting the paradigm's significant potential as a quantitative diagnostic tool and proposes future research directions, including the exploration of its neurochemical bases, its role in social cognition and implicit bias, and the development of cognitive training interventions to enhance behavioral flexibility.

**Keywords:** Set, Uznadze, Non-Conscious Regulation, Predictive Coding, Cognitive Rigidity, Cognitive Flexibility, Fronto-Striatal Circuits, Implicit Learning, Diagnostic Methodology.

# Introduction

The human psyche is not a *tabula rasa* that reacts anew to each stimulus. It is perpetually prepared by prior experience, a concept central to the school of thought founded by the Georgian psychologist Dmitri Uznadze. This article is devoted to an experimental investigation of set (განვითარება in Georgian, *Einstellung* in German, or *Установка* in Russian) – the cornerstone concept of Uznadze's theory. Set is understood not as a discrete mental process but as a holistic, unconscious, and preliminary state of the subject that determines the direction and selectivity of all subsequent mental activity (Uznadze, 1966). It is a pre-conscious integrative state that arises at the moment of the "collision" between an actualized need and the objective situation for its satisfaction, creating a predisposing foundation for perception, action, and thought.

The relevance of Uznadze's set theory has never been more pronounced. Contemporary cognitive neuroscience is witnessing a significant resurgence of interest in non-conscious forms of mental regulation (Hassin, 2013). While much of this research focuses on implicit memory, priming, and automaticity, the concept of set offers a unique and powerful methodological lens. It provides a framework for studying the pre-conscious determinants of behavior that precede and shape conscious experience. Understanding set is therefore crucial for elucidating the mechanisms underlying implicit learning, where knowledge is acquired without conscious awareness (Réber, 2013); decision-making, which is often guided by intuitive, pre-conscious biases (Kahneman, 2011); and cognitive biases, which are systematic patterns of deviation from norm or rationality in judgment, frequently rooted in automatic, set-driven heuristics (Tversky & Kahneman, 1974).

The experimental paradigm developed by Uznadze and his colleagues to objectify this elusive construct is elegantly simple yet profound. The classic "haptic set" experiment involves two distinct phases. In the fixation phase, a subject is blindfolded and presented with two balls of different sizes but identical weight, or more commonly, two balls of identical size but different weight, repeatedly for a number of trials (e.g., 15-20 times). For instance, a heavier ball is always placed in the right hand and a lighter one in the left. This repeated exposure is designed to create a stable, unconscious motor-perceptual readiness. In the subsequent critical phase, the subject is presented with two balls that are identical in both size and weight. The manifestation of set is revealed through a compelling contrast illusion: despite the objective equality, the individual perceives the ball in the hand previously accustomed to the heavier weight as being lighter, and vice versa (Uznadze, 1966). This illusory perception is the behavioral signature of a fixed, non-conscious set.

Despite its paradigmatic status and robust phenomenological demonstration, a significant problem persists in modern psychology. The "Uznadze balls" methodology is often reduced to a mere demonstration experiment in textbooks, serving as a historical footnote on unconscious processes. Its vast potential as a rigorous diagnostic tool remains underutilized. There is a pressing need for a systematic re-evaluation of its diagnostic potential across various populations, a thorough investigation of its psychometric properties (reliability, validity), and an exploration of its underlying neurophysiological correlates using modern technology. While the

behavioral outcome is clear, the neural mechanisms that subserve the formation, maintenance, and dissolution of set are still not fully understood (Cheng & Tseng, 2021).

Several researchers have begun to bridge this gap, connecting Uznadze's work with contemporary neuroscience. For instance, the formation of a fixed motor set likely involves cortico-striatal circuits responsible for habit formation and procedural learning (Ashby et al., 2010; Seger & Spiering, 2011). The contrast illusion itself may be linked to predictive coding mechanisms in the brain, where prior expectations (the set) actively shape sensory perception (Friston, 2010). Furthermore, individual differences in set liability and strength, such as the rapid dissolution of set versus its persistent maintenance, may reflect underlying neurobiological traits related to cognitive flexibility, a function heavily dependent on the prefrontal cortex (Dajani & Uddin, 2015). Studies on cognitive rigidity, a hallmark of various clinical conditions, show clear parallels with the concept of an overly fixed and persistent set (Gómez-Ariza et al., 2017). The investigation of these neural correlates can move the set phenomenon from a purely psychological construct to a biobehavioral one.

Therefore, the primary aim of this article is to conduct a comprehensive theoretical and experimental analysis of the set phenomenon through the lens of the Uznadze methodology. This work will synthesize classical theory with modern empirical findings. Specifically, it will:

1. Systematize the classical methodology and its various modifications (e.g., visual, cross-modal, computerized).
2. Review and analyze the diagnostic potential of the paradigm in differential and clinical psychology.
3. Integrate existing and propose future research on the neurocognitive underpinnings of set.
4. Outline pathways for integrating this classic paradigm into the mainstream of contemporary neurocognitive research, arguing for its value in understanding the architecture of non-conscious human behavior.

By achieving these aims, this article seeks to reclaim Uznadze's set paradigm as a vital and dynamic tool for the 21st-century science of the mind, bridging a rich historical legacy with the cutting-edge frontiers of cognitive neuroscience.

## **Theoretical and Methodological Foundations of the Methodology**

### **The Philosophical Approach: Set as a Holistic State**

At its core, Uznadze's theory of set represents a radical departure from elementalist approaches that dominated early experimental psychology. Set is not conceived as a discrete mental process—such as a sensation, perception, or memory—that can be isolated and studied

independently. Instead, it is posited as a fundamental mode of the entire personality, a primary, integral state that modulates the psyche's reactivity (Uznadze, 1966). This state emerges pre-consciously at the moment of the "meeting" or "collision" between an actualized need of the subject and the objective situation capable of satisfying that need. Before any conscious apprehension or volitional act occurs, this interaction gives rise to a specific readiness, a directional tendency that predetermines the course of subsequent conscious experiences and actions (Bassin, 2021). This conceptualization aligns with modern dynamical systems approaches to cognition, which emphasize the emergence of global states from the interaction of an organism with its environment (Tognoli & Kelso, 2014). The set, therefore, is the underlying, non-conscious "tuning" of the individual that prepares them for a specific type of interaction with the world, making it a cornerstone for understanding the unity and continuity of psychological life.

## **The Two-Phase Structure of the Experiment**

The genius of Uznadze's school lay in objectifying this holistic, internal state through a rigorous and reproducible experimental procedure, primarily the haptic (touch-based) set experiment. This procedure is universally characterized by its distinct two-phase structure.

### **The Fixation Phase (Set-Inducing Trials)**

The first phase is designed to establish and solidify the set. In the classic paradigm, a blindfolded participant is presented with a pair of stimuli, typically spheres, in a repeated manner (usually 15-20 trials). Crucially, these stimuli are presented in a constant, contrasting relationship. For instance, a heavier sphere is always placed in the right hand while a lighter one is simultaneously placed in the left hand (or vice-versa). The key is the invariant disparity between the paired stimuli across multiple exposures.

This repetitive exposure is not merely about habituation; it is a process of implicit, procedural learning (Seger, 2018). The subject's perceptual-motor system, without conscious intent or often even awareness of the consistent pattern, adapts to this recurring relationship. A state of readiness is gradually forged, creating an expectation that a specific sensory-motor relationship will persist. This process is akin to the development of a "perceptual expectation" or a "sensorimotor contingency" where the brain learns to predict the sensory consequences of a given context (Kok et al., 2017). The set formed in this phase is not a conscious hypothesis but a deep-seated, non-conscious preparation for a "heavy-right/light-left" (or opposite) experience.

### **The Phase of Objectification (Critical Trials)**

The critical phase serves to reveal the presence and strength of the established set. Following the fixation phase, the experimenter surreptitiously switches the stimuli. In the critical trials, the participant is presented with two spheres that are identical in weight and size. This is the fundamental experimental manipulation: the objective reality has changed, but the internal, non-conscious preparation has not.

The manifestation of the set is the contrast illusion (often termed the "deception of set"). When the identical spheres are presented, the participant, influenced by the previously solidified readiness, experiences a compelling perceptual distortion. The sphere in the hand that was previously accustomed to the heavier weight is perceived as distinctly lighter, while the sphere in the hand accustomed to the lighter weight is perceived as heavier. This illusion is the empirical proof of the set's existence and operational power (Cheng & Tseng, 2021). It demonstrates that perception is not a direct reflection of sensory input but is actively constructed by the brain's pre-activated models of the world, a core tenet of predictive processing theories (Friston, 2010). The set creates a prediction so strong that it overrides the veridical sensory evidence, resulting in a measurable behavioral error that unveils the hidden cognitive architecture.

## Key Diagnostic Parameters

The Uznadze paradigm transcends a simple demonstration by providing quantifiable metrics that allow for the assessment of individual differences in cognitive and neurodynamic functioning. Several key parameters can be derived:

- Sensitization to Set (Speed of Formation): This parameter is measured by the number of fixation trials required for the set to become stable enough to produce a clear and consistent illusion in the critical trials. Individuals who require fewer trials are considered to have a higher sensitivity or a more rapid consolidation of set, which may reflect efficiency in implicit learning mechanisms linked to cortico-striatal circuits (Ashby et al., 2010). Conversely, a higher number of required trials might indicate difficulties in forming stable sensorimotor representations, potentially observed in certain neurological or neurodevelopmental disorders (Gomez-Ariza et al., 2017).
- Strength / Degree of Fixation (Persistence): This is arguably the most common diagnostic measure. It is operationalized as the number of critical trials in which the contrast illusion persists after the stimuli have been equalized. A strong, persistent set is indicated by a long series of trials where the individual continues to experience the illusion. This is often interpreted as a marker of cognitive rigidity or inertia, where pre-existing mental models are resistant to updating in the face of disconfirming evidence (Dajani & Uddin, 2015). This has clear implications for understanding inflexible thought patterns in various clinical conditions.
- Lability / Rigidity of Set (Adaptability): This parameter assesses the flexibility of the cognitive system. It can be measured by the speed at which an established set extinguishes upon repeated presentation of identical stimuli or, more powerfully, by the speed of switching to a new set when the stimulus contingency is reversed (e.g., now the heavier sphere is always in the left hand). High lability (rapid extinction and switching) indicates cognitive flexibility, a key executive function associated with prefrontal cortex integrity (Dajani & Uddin, 2015). In contrast, rigidity (slow extinction) signifies a difficulty in inhibiting a previously relevant but now obsolete cognitive schema, a feature associated with aging and certain frontal lobe pathologies (Tsvetkov et al., 2022).

In summary, the methodological foundation of Uznadze's paradigm provides a powerful window into the non-conscious underpinnings of behavior. Its two-phase structure reliably elicits a fundamental cognitive phenomenon, while its quantifiable parameters offer a rich toolkit for diagnosing individual differences in perceptual learning, cognitive stability, and behavioral flexibility, bridging a classic psychological theory with modern concepts in cognitive neuroscience.

## Experimental Design and Modifications of the Methodology

The enduring power of Uznadze's set paradigm lies not only in its robust theoretical foundation but also in its remarkable methodological adaptability. While the core two-phase logic remains unchanged, the paradigm has evolved from its classic haptic form into a diverse family of experimental procedures, each tailored to investigate specific aspects of set formation and manifestation across different perceptual and cognitive domains.

### The Classic Haptic Variant: A Foundation of Weight and Volume

The original and most iconic implementation of the set experiment is the haptic (tactile-kinesthetic) variant. This method employs a standardized set of spheres, typically involving multiple pairs (e.g., a set of 9 pairs) that systematically vary in weight (e.g., 100g, 150g, 200g) and volume (diameter). This carefully designed stimulus set allows the experimenter to construct a wide array of experimental conditions crucial for dissecting the nature of the set (Uznadze, 1966).

The key conditions include:

- Establishing a Weight-Based Set: Using spheres of identical size but different weight (e.g., 100g vs. 200g) to create a pure weight-discrimination set.
- The Size-Weight Illusion (Objective Illusion): Presenting spheres of different sizes but identical weight. This probes the well-known illusion where smaller spheres are perceived as heavier, demonstrating how visual cues can generate a set that overrides haptic reality (Buckingham, 2014).
- Testing Set Specificity: Using combinations of weight and volume to investigate whether the set is modality-specific or amodal. For instance, after inducing a set with heavy-large and light-small spheres, critical trials might use spheres of equal weight but different sizes, or vice versa, to see which dimension (weight or size) dominates the illusory aftereffect (Brayanov & Smith, 2010).

This classic design powerfully demonstrates that set is a fundamental property of the perceptual system, capable of generating compelling illusions that reveal its underlying operational principles. The use of physical objects engages a rich sensorimotor loop, making the set a tangible, bodily experience.

## Modern Modifications and Paradigm Extensions

To explore the generality of the set phenomenon and integrate it with modern cognitive neuroscience, researchers have developed several sophisticated modifications of the original paradigm.

### Visual Analogues: From Perception to Semantics

The transfer of the set paradigm to the visual modality has been a pivotal step, demonstrating its domain-generality. Visual set paradigms typically replace the spheres with geometric figures, such as circles of different sizes, presented sequentially or simultaneously on a screen.

In a typical experiment, during the fixation phase, participants are repeatedly exposed to a pair of circles where one is consistently larger than the other. In the critical phase, two identical circles are presented. The established perceptual set manifests as a visual contrast illusion, where the circle in the location previously associated with the larger stimulus is perceived as smaller than its identical counterpart (Schütz-Bosbach & Prinz, 2007). This visual analogue confirms that set is not confined to the haptic domain but is a central principle of perceptual organization.

Furthermore, the paradigm has been extended beyond low-level perception to semantic set. Here, the stimuli are words or concepts. For example, participants might be repeatedly presented with word pairs where a specific category (e.g., animals) is consistently paired with a larger font size. When subsequently presented with words from a new category (e.g., tools) in identical fonts, the semantic set can induce a size-contrast illusion, revealing how abstract conceptual knowledge can generate perceptual readiness (Dijkstra & Fleming, 2023). This bridges the set phenomenon with research on conceptual priming and embodied cognition.

### Computerized Versions: Enhancing Precision and Objectivity

The advent of computerized testing has revolutionized the Uznadze paradigm, transforming it from a qualitative demonstration into a precise, quantitative tool. Computerized versions offer several critical advantages:

- Millisecond-Accurate Reaction Time (RT) Measurement: The computer can precisely record the speed of perceptual judgments (e.g., "which circle is larger?"). The strength of the set can be quantified not only by the presence of an illusion but also by the speed with which the illusory judgment is made. A stronger set is often associated with faster RTs in critical trials, as the pre-activated response is readily available (Schütz-Bosbach & Prinz, 2007).
- Objective Motor Response Metrics: Beyond RT, systems can measure force of key presses, mouse trajectory deviation, or touchscreen dynamics. These metrics provide implicit, continuous measures of response conflict during critical trials, where the veridical sensory input conflicts with the established set (Song & Nakayama, 2008).

- Stimulus Control and Standardization: Computers eliminate potential experimenter bias and ensure perfect consistency in stimulus presentation timing, location, and properties across all trials and participants (Cheng & Tseng, 2021).

This shift to digital platforms allows for the collection of rich, multi-dimensional data sets that are essential for rigorous psychophysical analysis and for correlating behavioral measures with neurophysiological data.

### **Cross-Modal Paradigms: Probing the Amodal Nature of Set**

Some of the most compelling evidence for the central, supramodal nature of set comes from cross-modal experiments. These paradigms investigate whether a set established in one sensory modality can transfer and influence perception in another.

A canonical example involves inducing a set in the haptic modality and testing its effect in the visual modality. Participants might first undergo the classic haptic fixation phase, handling heavy and light spheres. Subsequently, in the visual critical phase, they are presented with two identical circles and asked to judge their size. Astonishingly, the haptically-induced weight set can produce a visual size-contrast illusion: the circle associated with the hand that held the heavy sphere is perceived as smaller (Huang & Wang, 2017). This cross-modal transfer suggests that the set operates at a level of cognitive representation that is abstracted from specific sensory inputs, likely involving heteromodal association cortices that integrate information across senses (Driver & Noesselt, 2008).

Similar paradigms have been used to study transfer between audition and vision, and even between emotional priming (e.g., happy vs. sad faces) and perceptual judgments, further solidifying the idea that set is a fundamental, amodal mechanism of cognitive preparation that biases information processing across the entire cognitive system (Kok et al., 2017).

In conclusion, the experimental design of the Uznadze paradigm has proven to be exceptionally versatile. From its roots in tactile perception with physical spheres, it has branched out into visual, semantic, and cross-modal domains, aided by computerized precision. This methodological evolution has been instrumental in transforming the set from a curious haptic illusion into a general principle of the non-conscious mind, whose neural underpinnings we are now poised to investigate with modern tools.

### **Key Findings and Their Interpretation**

Empirical research employing the Uznadze paradigm has yielded a robust and consistent body of findings that transcend the mere demonstration of an illusion. These results validate the set as a fundamental neurocognitive construct and reveal its profound implications for understanding individual differences, developmental trajectories, and clinical conditions.

## The Universality of the Phenomenon and Its Theoretical Significance

The most fundamental finding across decades of research is the remarkable universality of the set phenomenon. The contrast illusion is observed in the vast majority of neurologically healthy participants across different cultures and age groups, provided the fixation phase is sufficiently long (Uznadze, 1966). This universality is not a trivial outcome; it signifies that set is not an artifact or a curious perceptual trick, but rather a fundamental operating principle of the central nervous system.

The persistence of the illusion in critical trials provides compelling evidence for the existence of a powerful predictive mechanism that proactively shapes perception. The brain, having adapted to a consistent pattern, continues to apply this internal model even when it is no longer accurate. This aligns perfectly with the "predictive coding" framework, which posits that the brain is a hierarchical prediction machine that constantly generates models of the world to interpret sensory input (Friston, 2010). The set illusion is a clear behavioral manifestation of a strong, prior prediction (the established sensory relationship) overriding the bottom-up sensory evidence (the identical stimuli). The universality of this effect underscores that such predictive processing is a default mode of brain function, crucial for efficient interaction with a structured environment (Kok et al., 2017). It confirms Uznadze's foundational insight that our conscious experience is always preceded and prepared by a non-conscious, integrative state.

## Individual Differences: From Cognitive Style to Neurological Signature

While the set phenomenon is universal, its quantitative parameters reveal significant and theoretically meaningful individual differences. The strength, persistence, and lability of the set serve as behavioral biomarkers for underlying cognitive and neurodynamic traits.

- "Strong" Set and Cognitive Rigidity: A pronounced and persistent set, characterized by a long series of critical trials in which the illusion is maintained, is typically interpreted as a marker of cognitive rigidity. Individuals with this profile demonstrate difficulty in inhibiting a previously relevant but now obsolete cognitive schema. This rigidity has been consistently linked to functions of the prefrontal cortex (PFC), particularly the dorsolateral PFC and anterior cingulate cortex, which are critical for cognitive control, error detection, and behavioral flexibility (Dajani & Uddin, 2015). Excessive set persistence is not merely a laboratory finding; it is a behavioral correlate of a real-world tendency towards stereotyped behavior, resistance to change, and perseveration, observed in conditions such as Obsessive-Compulsive Disorder (OCD) and certain forms of schizophrenia (Gómez-Ariza et al., 2017; Tsvetkov et al., 2022).
- "Weak" or Labile Set and Cognitive Flexibility (and its Pathological Extreme): Conversely, a rapid extinction of the illusion—a "weak" or labile set—indicates high cognitive flexibility. These individuals can quickly update their internal models when faced with disconfirming evidence, a key executive function. This adaptability is also subserved by prefrontal networks, particularly those involving dynamic gating mechanisms that allow for the rapid updating of information in working memory

(Chatham & Badre, 2015). However, an extremely labile set, where the illusion fails to form stably or extinguishes almost immediately, can be pathological. It may reflect an instability of attention, an inability to form stable neural representations, or a failure of predictive mechanisms. This pattern is often observed in attention-deficit/hyperactivity disorder (ADHD), in the manic phase of bipolar disorder, and in some cases of traumatic brain injury that affect frontal and striatal circuits (Cheng & Tseng, 2021).

## Diagnostic Potential in Applied Fields

The sensitivity of the Uznadze paradigm to these individual differences grants it significant diagnostic potential across several applied domains.

- Clinical Psychology and Neuropsychiatry: The paradigm serves as a fine-grained tool for assessing cognitive dysregulation in mental and neurological disorders. In schizophrenia, abnormalities in set are profound, often manifesting as either extreme rigidity or chaotic lability, reflecting a breakdown in the brain's predictive machinery (Sterzer et al., 2018). In anxiety disorders and OCD, an excessively strong and persistent set mirrors the cognitive inflexibility and perseverative worry that characterize these conditions (Gómez-Ariza et al., 2017). Furthermore, in neurodegenerative diseases like Parkinson's disease, which involves striatal dysfunction, the impairment in habit learning and set formation can be quantitatively assessed using motoric variants of the task (Ashby et al., 2010).
- Developmental Psychology: The ontogeny of set follows a predictable trajectory that mirrors brain maturation. In early childhood, set formation is typically weaker and more labile, consistent with the immaturity of the prefrontal cortex and its connections (Jolles & Crone, 2012). Set strength and stability peak in young adulthood, coinciding with the full maturation of executive control networks. In healthy aging, a trend towards increased rigidity is often observed, characterized by a more persistent set, which is linked to age-related declines in prefrontal structure and function and reduced neurotransmitter flexibility (Tsvetkov et al., 2022). This lifespan trajectory highlights the set as a marker of the brain's evolving computational capabilities.
- Sports Science and Professional Assessment: The paradigm is highly relevant for domains requiring rapid skill acquisition and cognitive-motor flexibility. The speed and robustness of motor set formation directly correlate with the ability to automate complex athletic movements. Conversely, the ability to quickly switch or dissolve a motor set is crucial for adapting to dynamic game situations. In professions such as aviation or surgery, where operators must rapidly shift between procedures in response to changing conditions, assessing set lability can be a valuable component of professional selection and training, providing a measure of tactical flexibility and resistance to cognitive fixation (Song & Nakayama, 2008).

In summary, the key findings from the Uznadze paradigm paint a picture of set as a fundamental, quantitatively measurable neurocognitive trait. Its parameters provide a window

into the functional state of large-scale brain networks governing prediction, flexibility, and control, making it a potent tool for both basic research and applied diagnostics across the lifespan and in various states of health and disease.

## **Neurocognitive Correlates and Contemporary Interpretation**

The robust behavioral phenomenon of set, so clearly demonstrated by Uznadze's paradigm, inevitably raises the question of its underlying neural mechanisms. Modern cognitive neuroscience has provided the tools to move from a purely psychological description to a neurobiological account, revealing that set is not a monolithic construct but an emergent property of a distributed network of brain regions. Furthermore, this neural evidence allows for a powerful reinterpretation of the set within the formal frameworks of contemporary cognitive psychology.

### **The Neurophysiological Substrate: A Distributed Network for Prediction and Habit**

Data from modern neuroimaging techniques, such as functional magnetic resonance imaging (fMRI) and electroencephalography (EEG), have begun to delineate the core neural circuits subserving the formation, maintenance, and updating of set. The consensus points to a collaborative network involving subcortical structures for reinforcement and cortical areas for control and integration.

- **Basal Ganglia and Thalamus: Engraving the Stereotype.** The consistent, repetitive exposure during the fixation phase is a classic protocol for implicit habit learning. This type of learning is critically dependent on the cortico-striatal-thalamic loops (Ashby et al., 2010). The basal ganglia, particularly the dorsal striatum (caudate and putamen), are essential for detecting predictable stimulus-response contingencies and gradually reinforcing them into automatic behavioral programs or "stereotypes" (Seger, 2018). The thalamus then acts as a relay, closing the loop by projecting this reinforced pattern back to the cortex. During the critical trials, this well-established circuit continues to "push" the prepared response, contributing directly to the strength and automaticity of the contrast illusion. The involvement of this system explains why the set is so resistant to conscious control; it is encoded in the neural architecture for procedural, not declarative, knowledge (Cheng & Tseng, 2021).
- **Prefrontal Cortex (PFC): The Executive Controller.** While the basal ganglia automate the set, the PFC is crucial for its monitoring and, when necessary, its suppression. The dorsolateral PFC (dPFC) is widely implicated in cognitive control, working memory, and the implementation of task rules (Dajani & Uddin, 2015). During the fixation phase, the dPFC is involved in maintaining the relevant stimulus-response mapping. However, its role becomes most critical in the critical phase. Here, the conflict between the veridical sensory input (identical stimuli) and the predicted input (different stimuli) generates a

well-documented neural signal: the error-related negativity (ERN), which is thought to originate from the anterior cingulate cortex (ACC), a region tightly interconnected with the dlPFC (Cavanagh & Frank, 2014). This conflict signal then engages the dlPFC to inhibit the prepotent response dictated by the set and to facilitate behavioral adaptation. Individual differences in the efficacy of this prefrontal control mechanism likely underpin the observed variations in set lability and rigidity.

- **Sensory Association Cortices: The Locus of Perceptual Integration.** The set illusion is, at its core, a perceptual phenomenon. Therefore, its final manifestation must involve the modulation of sensory processing. fMRI studies have consistently shown that prior expectations—the neural equivalent of set—can modulate activity in early sensory and association cortices (Kok et al., 2017). For a haptic set, this would involve the secondary somatosensory cortex (S2) and associated parietal areas. For a visual set, the lateral occipital complex and visual association areas are implicated. According to predictive processing theories, these sensory regions do not passively receive information but rather combine bottom-up sensory signals with top-down predictions. In the Uznadze paradigm, the top-down prediction ("the right one is heavier") is so strong during the critical phase that it alters the perceptual representation in the very cortical areas responsible for constructing the experience of weight or size, giving rise to the vivid contrast illusion (Friston, 2010). This explains why the illusion feels genuinely perceptual and not just a cognitive error in judgment.

## Interpretation within the Frameworks of Cognitive Psychology

The neural findings allow us to seamlessly integrate Uznadze's set with well-established constructs in cognitive science, demonstrating its profound relevance to modern psychology.

- **Implicit Learning and Procedural Memory.** The entire process of set formation is a quintessential example of implicit learning—the acquisition of knowledge that takes place largely independently of conscious attempts to learn and without explicit awareness of what has been learned (Réber, 2013). The set is a learned association between a context (e.g., "ball in right hand") and an expected property (e.g., "heaviness"). This learned association is stored and expressed through the procedural memory system, which is distinct from the declarative memory system used for facts and events (Seger, 2018). The set phenomenon thus provides a clean behavioral paradigm for studying the neural and cognitive bases of non-conscious knowledge acquisition.
- **The Priming Effect.** The set can be conceptualized as a prolonged and powerful form of negative priming or adaptation. The repeated exposure to a specific stimulus relationship (e.g., heavy-right/light-left) primes the neural networks responsible for processing that relationship, biasing them toward a specific interpretation of subsequent input (Henson, 2003). When the subsequent input is neutral (identical stimuli), the pre-activated network responds as if the original relationship were still in place, but in a contrasting manner. The set is, therefore, a dynamic trace of past experience that automatically and unconsciously facilitates a specific, albeit illusory, perception.

- A Cognitive Heuristic ("Anchoring"). The set operates as a fundamental cognitive heuristic, closely related to the well-known anchoring effect in judgment and decision-making (Tversky & Kahneman, 1974). In the classic anchoring heuristic, an initially presented number (the anchor) biases subsequent numerical estimates. In the Uznadze paradigm, the entire initial series of trials serves as a powerful "perceptual anchor." The sensory experiences of the fixation phase establish a reference point or a baseline to which all subsequent perceptions are compared. The critical trial is then assimilated towards this anchor, resulting in a systematic perceptual distortion. This reframes the set as a basic, automatic mechanism of the mind to rely on initial information (the anchor) when making perceptual judgments, highlighting its role as a fundamental driver of cognitive biases.

In conclusion, the Uznadze set is no longer just a historical curiosity. Through the lens of modern neuroscience, it is revealed as a manifestation of a distributed brain network where the basal ganglia automate predictions, the prefrontal cortex struggles for control, and sensory cortices integrate these signals into a compelling perceptual reality. Reconceptualized as implicit learning, priming, and a cognitive heuristic, the set paradigm stands as a timeless and powerful tool for bridging the gap between classic psychology and the contemporary science of the mind.

## Discussion

The present analysis has endeavored to reclaim the Uznadze set paradigm from its status as a historical demonstration and reposition it as a vital, dynamic, and highly relevant tool for contemporary cognitive neuroscience. By synthesizing its theoretical foundations, methodological evolution, key empirical findings, and emerging neurocognitive correlates, a compelling picture emerges: the set is not a mere artifact but a fundamental principle of mental organization—a non-conscious, integrative state that sits at the very heart of perception, action, and cognition. This discussion will integrate these threads, articulate the principal implications, acknowledge the limitations of the current research, and propose concrete avenues for future inquiry.

### Theoretical Integration: Set as a Bridge Between Schools

The journey of the set concept, from Uznadze's original philosophical postulates to its current interpretation within predictive processing frameworks, demonstrates a remarkable theoretical convergence. Uznadze's insight that consciousness is always prepared by a pre-conscious state finds its modern echo in the theory that the brain is a hierarchical prediction engine (Friston, 2010). The "contrast illusion" is the behavioral signature of a strong prior belief (the set) overwhelming the likelihood (the sensory evidence of identical stimuli). This integration is profound because it connects a classic school of psychological thought with one of the most influential contemporary theories in neuroscience. Furthermore, the decomposition of the set into its constituent neurocognitive processes—the striatal-dependent habit formation (Ashby et al., 2010), the prefrontal-mediated cognitive control (Dajani & Uddin, 2015), and the sensory cortical modulation (Kok et al., 2017)—allows for a more precise, mechanistic understanding of

what was once a holistic, albeit powerful, construct. The set is thus a Rosetta Stone, translating between the language of dialectical psychology and that of computational neurobiology.

## Principal Implications and Contributions

This synthesis yields several significant implications. First, it elevates the Uznadze paradigm from a qualitative demonstration to a quantitative diagnostic tool. The parameters of set formation, strength, and lability provide a rich, multi-dimensional profile of an individual's cognitive style and neural integrity. As reviewed, these profiles show systematic variations across the lifespan, from the developing brain of a child (Jolles & Crone, 2012) to the aging brain (Tsvetkov et al., 2022), and are sensitively altered in a range of clinical conditions marked by cognitive rigidity or instability, such as schizophrenia (Sterzer et al., 2018), OCD, and ADHD (Gómez-Ariza et al., 2017). The paradigm offers a behavioral assay for the functional state of the fronto-striatal circuits, with tangible applications in clinical neuropsychology and neurology.

Second, the paradigm provides a unique window into the architecture of the non-conscious mind. By studying set, we are not studying a repressed Freudian unconscious, but a procedural, implicit, and predictive unconscious—one that is continuously and automatically shaping our experience. The set phenomenon demonstrates that a great deal of perceptual and motor tuning occurs outside the realm of conscious awareness and volition, a notion that aligns with the growing recognition of the limits of introspection and the power of implicit processes (Hassin, 2013). The set is the physical instantiation of our "hidden brain," the automated machinery that guides most of our moment-to-moment functioning.

## Limitations and Methodological Considerations

Despite its strengths, the application and interpretation of the Uznadze paradigm are not without limitations. A primary challenge is the heterogeneity of methodologies. While the core two-phase logic is consistent, differences in the number of fixation trials, the nature of the critical trials, the stimulus modalities used, and the dependent measures (subjective report vs. reaction time vs. force measurement) can make direct comparisons across studies difficult. There is a pressing need for a standardized, computerized protocol with established normative data across different age groups and populations.

Furthermore, the interpretation of individual differences requires caution. While a "strong" set is often linked to cognitive rigidity, it could also reflect a superior capacity for implicit learning. Conversely, a "weak" set could indicate either high flexibility or a deficit in forming stable neural representations. Disambiguating these interpretations requires converging evidence from other neuropsychological tasks and, ideally, concurrent neuroimaging. The behavioral output is a final common pathway, and its precursors must be carefully identified.

## Future Research Directions

The reconceptualization of the set paradigm opens up several exciting avenues for future research:

1. **Linking Set Parameters to Specific Neurotransmitter Systems:** Future studies could combine the Uznadze paradigm with pharmacological interventions or genetic analyses to explore its neurochemical basis. For instance, given the role of dopamine in reward prediction and the basal ganglia, and acetylcholine in sensory plasticity and attention, it is plausible that individual differences in set dynamics are modulated by these neurotransmitter systems (Cools & D'Esposito, 2011). This would bridge the gap from brain networks to molecular mechanisms.
2. **Social and Affective Neuroscience of Set:** The paradigm can be extended beyond the perceptual-motor domain. Can a social set be established? For example, could repeated exposure to faces with specific emotional expressions (e.g., angry faces associated with a particular social group) create a set that biases the perception of neutral faces? This would powerfully connect Uznadze's work with research on implicit bias and social stereotyping, providing a laboratory model for how social prejudices become cognitively entrenched.
3. **Real-World Applications and Neurostimulation:** The diagnostic potential of the paradigm should be more rigorously tested in applied settings. Can set metrics predict rehabilitation outcomes after stroke? Can they be used to monitor the cognitive side-effects of medications? Furthermore, non-invasive brain stimulation techniques like transcranial magnetic stimulation (TMS) or transcranial direct current stimulation (tDCS) could be used to temporarily inhibit or excite the dlPFC or other key nodes during the critical phase, providing causal evidence for their role in set maintenance and dissolution (Cavanagh & Frank, 2014).
4. **Developmental Trajectories and Educational Implications:** A more fine-grained longitudinal study of set development from childhood through adolescence could illuminate the maturation of executive and implicit learning systems. Understanding how cognitive flexibility emerges could inform pedagogical strategies designed to foster adaptive learning and critical thinking.

In conclusion, the phenomenon of set, as elucidated by D.N. Uznadze, remains a cornerstone for understanding the prepared, proactive, and largely non-conscious nature of the human mind. By embracing its methodological versatility and integrating its findings with modern cognitive and neural frameworks, we can ensure that this classic paradigm continues to generate profound insights into the fundamental mechanisms of behavior, both in health and disease. The set is not a relic of psychology's past; it is a key to its future.

# Conclusions

The comprehensive analysis undertaken in this article affirms the enduring significance and remarkable modernity of D.N. Uznadze's theory of set. What began as a deceptively simple experiment with pairs of spheres has evolved into a sophisticated paradigm capable of illuminating the deepest, non-conscious layers of mental life. The conclusions drawn from this synthesis are both theoretical, reaffirming the paradigm's foundational value, and practical, pointing toward its untapped potential in applied fields. Furthermore, they chart a clear course for a new generation of research that can bridge molecular, systems-level, and social neuroscience.

## Theoretical Conclusions

First and foremost, the Uznadze methodology remains a valid and powerful tool for investigating the non-conscious levels of mental regulation. Its robustness is demonstrated by the universality of the contrast illusion and its sensitivity to a wide spectrum of individual and clinical differences. The paradigm provides a unique window into the automatic, procedural, and predictive mechanisms that constitute the bulk of our cognitive operations, mechanisms that operate outside the spotlight of conscious awareness but fundamentally shape our experience and behavior (Hassin, 2013).

Second, the concept of set serves as a crucial bridge between classical psychology of consciousness and modern research on the unconscious. Uznadze's dialectical view of the psyche, where conscious experience is prepared and made possible by a pre-conscious, integrative state, finds a powerful and mechanistic echo in contemporary predictive processing theories (Friston, 2010). The set is the behavioral and neural instantiation of a "prior" that guides perception and action. This theoretical convergence enriches both frameworks: it provides a concrete experimental paradigm for predictive coding and, conversely, offers a modern computational language for Uznadze's profound psychological insights.

## Practical Conclusions

From an applied perspective, the primary conclusion is that the Uznadze paradigm possesses significant, yet underappreciated, diagnostic potential in clinical and differential psychology. The quantitative parameters of set formation, strength, and lability offer a finely grained assessment of cognitive rigidity and flexibility. These metrics are sensitive to the integrity of fronto-striatal circuits and can serve as behavioral biomarkers for conditions such as schizophrenia (Sterzer et al., 2018), OCD, ADHD (Gómez-Ariza et al., 2017), and the cognitive effects of healthy aging (Tsvetkov et al., 2022). Its utility extends beyond pathology to profiling cognitive styles in educational, occupational, and athletic settings.

To fully realize this diagnostic potential, a critical and immediate goal must be the standardization of computerized versions of the methodology and the creation of normative databases for different populations. The field requires a move away from ad-hoc

implementations toward unified protocols that control for stimulus timing, response measurement (including reaction time and force), and trial structure. Establishing age-stratified and culturally sensitive norms is essential for transforming the paradigm from a research tool into a clinically viable instrument (Cheng & Tseng, 2021).

## Promising Avenues for Future Research

Building on these conclusions, several specific and highly promising directions for future research emerge:

1. Elucidating the Neurochemical Foundations of Set. The neural circuits identified are modulated by specific neurotransmitter systems. Future research should directly probe the role of the dopaminergic and GABAergic systems. Dopamine, central to reinforcement learning and prediction error signaling in the basal ganglia (Ashby et al., 2010), is likely crucial for the initial formation and strength of a set. Conversely, GABA, the primary inhibitory neurotransmitter, is essential for neural signal-to-noise ratio and cognitive control processes in the prefrontal cortex (Dajani & Uddin, 2015). Pharmacological challenges, combined with the set paradigm, could dissect their respective contributions. For instance, a GABAergic agonist might enhance set flexibility by improving prefrontal inhibition of the obsolete stereotype.
2. Exploring Set in the Context of Social Cognition. The paradigm is ideally suited to investigate the automatic, implicit nature of social stereotypes and prejudices. A "social set" could be established by repeatedly pairing social group cues (e.g., faces of a specific ethnicity) with particular traits or behaviors. Subsequent critical trials could then measure implicit biases in perception or judgment of neutral stimuli. This approach would provide a powerful, process-pure measure of how social biases become cognitively entrenched and automatically activated, offering a novel paradigm for social neuroscience (Amodio, 2019).
3. Developing Interventions for Cognitive Rigidity. The most transformative application of this research lies in the development of training protocols based on the Uznadze paradigm to enhance behavioral flexibility. By systematically practicing the switching or dissolution of sets, individuals might strengthen the underlying prefrontal control networks. Such cognitive training could be beneficial for populations characterized by pathological rigidity, such as in OCD or addiction, or for promoting cognitive health in aging. This approach moves the paradigm from a diagnostic tool to an interventional one, leveraging neuroplasticity to foster cognitive resilience (Katz et al., 2018).

In summary, the Uznadze set paradigm stands as a testament to the enduring power of a profound psychological insight. It has successfully transitioned from a classic demonstration into a cutting-edge experimental platform. By embracing its methodological versatility, grounding its findings in modern neuroscience, and pursuing the research avenues outlined herein, we can continue to unlock its potential to reveal the secrets of the non-conscious mind and develop new strategies for enhancing human cognitive function across the lifespan.

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